

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

785.44881X00

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/EP2003/009328

INTERNATIONAL FILING DATE  
22 August 2003

PRIORITY DATE CLAIMED  
06 September 2002

**TITLE OF INVENTION**

# METHOD FOR STRUCTURING A PLANAR SUBSTRATE COMPOSED OF A GLASSLIKE MATERIAL

**APPLICANT(S) FOR DO/EO/US**

Hans-Joachim QUENZER, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

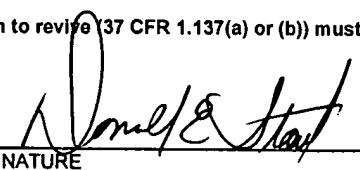
1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Figs. 1-6; Request for Examination and Search Form**  
**Credit Card Payment Form**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/526962		PCT/EP2003/009328		785.44881X00	
21. The following fees are submitted:				Applicant use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee .. \$300.00				\$300.00	
<input checked="" type="checkbox"/> b) Examination fee .. \$200.00				\$200.00	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$1000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	39 - 20 =	19	x \$50.00	\$ 950.00	
Independent claims	2 - 3 =		x \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 950.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 1950.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1950.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 1950.00	
Amount to be refunded:				\$	
Amount to be charged				\$	
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>01-2135</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:  Antonelli, Terry, Stout &amp; Kraus, LLP  Suite 1800  1300 North Seventeenth Street  Arlington, Virginia 22209</p> <p>Customer No. 020457</p>					
SIGNATURE				 Donald E. Stout NAME	
REGISTRATION NUMBER				26,422	

**10/526962**

**DT01 Rec'd PCT/PTO 07 MAR 2005**

**ATTACHMENT**

International Application No. PCT/EP2003/009328	International Filing Date 22 August 2003	Attorney Docket No. 785.44881X00
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1. International Publication No. WO 2004/030057 A1-Cover Sheet
2. Notification Concerning Submission or Transmittal of Priority Document
5. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices
6. International Search Report

10/526962

785.44881X00

DT01 Rec'd PCT/PTC 07 MAR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hans-Joachim QUENZER, et al.  
Application No.: Not Yet Assigned  
Filed: March 7, 2005  
For: METHOD FOR STRUCTURING A PLANAR SUBSTRATE  
COMPOSED OF A GLASSLIKE MATERIAL

**REQUEST FOR EXAMINATION AND SEARCH**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

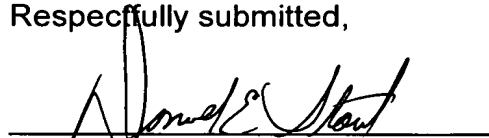
March 7, 2005

Sir:

Applicants respectfully request that a search and examination be conducted in the above-identified application. The necessary search fee and examination fee are enclosed herewith.

Please charge any shortage in the fees due in connection with the filing of this paper, including excess claim fees, to Deposit Account No. 01-2135 (referencing case No. 785.44881X00), and please credit any excess fees to such deposit account.

Respectfully submitted,



Donald E. Stout  
Registration No. 26,422  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

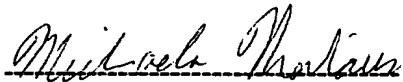
DES/dc  
1300 North Seventeenth Street  
Suite 1800  
Arlington, Va 22209  
Telephone: (703) 312-6600  
Fax: (703) 312-6666

**CERTIFICATION**

I, the undersigned, am a professional translator, fully competent to translate from German into English, and I declare hereby that the attached English rendition of the International Patent Application WO 2004/030057 A1 entitled

GLASS-TYPE PLANAR SUBSTRATE, USE THEREOF, AND METHOD FOR THE PRODUCTION THEREOF

is a genuine translation, accurate in every particular, to the best of my ability and knowledge.



Michaela Nierhaus

Name: -----

Brabanterstr. 15

Address: -----

80805 Munich

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Germany  
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Feb. 25, 2005

Date: -----